

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/812,455

03/30/2004

Satoshi Kanai

44471/299174

CONFIRMATION NO. 3136

FORMALITIES LETTER

OC000000012928130

23370 JOHN S. PRATT, ESQ KILPATRICK STOCKTON, LLP 1100 PEACHTREE STREET SUITE 2800 ATLANTA, GA 30309

Date Mailed: 06/14/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine
 the number of claims submitted. Additional claim fees may be due once the number of claims can be
 determined.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 for English translation surcharge required.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Satoshi KANAI et al.

SERIAL NO.:

10/812,455

FILED:

March 30, 2004

FOR:

UI Design Evaluation System and Method

ATTORNEY DOCKET NO.: 44471/299174

DATE: August 11, 2004

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as certified first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August _____, 2004.

Janie Wilkins

RESPONSE TO NOTICE OF MISSING PARTS

Sir:

This paper is submitted in response to the "Notice to File Missing Parts of Nonprovisional Application" mailed on June 14, 2004. In response, an executed Declaration signed by inventors Satoshi KANAI and Sou HORIUCHI is being submitted (Exhibit A), a copy of the English translation of the Specification with Statement that the translation is accurate (Exhibit B), a copy of the Notice to File Missing Parts of Provisional Application (Exhibit C), and a check for \$130.00 for payment of the English translation surcharge (Missing Parts surcharge of \$130.00 paid at time of filing of application).

No other fee is believed to be due, however, if this belief is incorrect, the Commissioner is authorized to debit Kilpatrick Stockton deposit account no. 11-0855 for any additional fees due.

08/17/2004 JADDO1

00000011 10812455

CETABLE AND

180x80x80

Respectfully submitted,

Brenda O. Holmes

Registration No. 40,339

OF COUNSEL:

KILPATRICK STOCKTON LLP 1100 Peachtree Street, Suite 2800 Atlanta, Georgia 30309-4530

(404) 815-6500

08/17/2004 JADD01 00000012 10812455

01 FC:1053

130.00 OP

AUG 1 6 2004 TRANSMITTA FORM (to be used for all correspondence after in	AL initial filing)	Application Number Filing Date First Named Inventor Group Art Unit Examiner Name	10/812,455 03/30/2004 Satoshi KANAI	
Total Number of Pages in This Submiss		Attorney Docket Number	44471/299174	
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Assignm (for an A Drawing Licensin Petition Provision Power o Change Address Termina Request	nent Papers Application) g-related Papers to Convert to a nal Application of Attorney, Revocation of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): English translation of the specification and a statement that the translation is accurate.	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Brenda O. Holmes, Reg. No. 40,339 KILPATRICK STOCKTON LLP Signature Brunda Offoliums Date OB-11 - 2004				
CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as certified first class mail in an envelope addressed to: Mail Stop Missing Parts Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: Typed or printed name Janie Wilkins				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

Signature

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0551-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

NT 8 THE	TRANSMITTAL	
	for FY 2004	

Applicant claims small entity status. See 37 CFR 1.27

SUBMITTED BY

Name (Print/Type)

Brenda D. Holmes

(\$) 130.00	
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Under the Paperwork Reduction Act of 19	995, no persons are required to	respond to a collection of infe	ormation unless it displays a valid OMB control number	
for FY 2004 Effective 10/01/2003. Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27		Complete if Known		
		Application Number	10/812,455	
		Filing Date	03/30/2004	
		First Named Inventor	Satoshi KANAI	
		Examiner Name	not assigned	
		Art Unit	not assigned	
OTAL AMOUNT OF PAYMENT	(\$) 130.00	Attorney Docket No.	44471/299174	

METHOD OF PAYMENT (check all that apply)	METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:	rge Entity Small Entity					
Denosit	ee Fee Fee Fee code (\$) Code (\$)	Fee Description Fee Paid				
Account 11-0855		Surcharge - late filing fee or oath				
Number Deposit Account KILPATRICK STOCKTON LLP		Surcharge - late provisional filing fee or				
Account Name		cover sheet Non-English specification				
The Director is authorized to: (check all that apply)		For filing a request for ex parte reexamination				
Charge fee(s) indicated below Credit any overpayments		Requesting publication of SIR prior to				
Charge any additional fee(s) or any underpayment of fee(s)		Examiner action				
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	805 1,840* 1805 1,840*	Requesting publication of SIR after Examiner action				
FEE CALCULATION	251 110 2251 55	Extension for reply within first month				
1. BASIC FILING FEE	252 420 2252 210	Extension for reply within second month				
Large Entity Small Entity	253 950 2253 475	Extension for reply within third month				
Fee Fee Fee Fee Fee Description Fee Paid Code (\$)	254 1,480 2254 740	Extension for reply within fourth month				
1001 770 2001 385 Utility filing fee	255 2,010 2255 1,005	Extension for reply within fifth month				
1002 340 2002 170 Design filing fee	401 330 2401 165	Notice of Appeal				
1003 530 2003 265 Plant filing fee	402 330 2402 165	Filing a brief in support of an appeal				
1004 770 2004 385 Reissue filing fee	403 290 2403 145	Request for oral hearing				
1005 160 2005 80 Provisional filing fee	451 1,510 1451 1,510	Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 0	452 110 2452 55	Petition to revive - unavoidable				
	453 1,330 2453 665	Petition to revive - unintentional				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	501 1,330 2501 665	Utility issue fee (or reissue)				
Extra Claims below Fee Paid Total Claims	502 480 2502 240	Design issue fee				
Total Claims		Plant issue fee				
Claims - = X = U	460 130 1460 130	Petitions to the Commissioner				
	807 50 1807 50	Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity Fee Fee Fee Fee Fee Description		Submission of Information Disclosure Stmt				
Code (\$) Code (\$)	021 40 8021 40	Recording each patent assignment per property (times number of properties)				
1202 18 2202 9 Claims in excess of 20		Filing a submission after final rejection				
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	810 770 2810 385	(37 CFR 1.129(a)) For each additional invention to be				
1204 86 2204 43 ** Reissue independent claims		examined (37 CFR 1.129(b))				
over original patent		Request for Continued Examination (RCE)				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900	Request for expedited examination of a design application				
SUBTOTAL (2) (\$) ⁰	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	ee Paid SUBTOTAL (3) (\$) 130					
SUBMITTED BY (Complete (if applicable))						

Signature WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

(Attorney/Agent)

40,339

Telephone 404.815.6500

Date

Appln. No. 10/812,455 Response Dated August 11, 2004 Response to Notice to File Missing Parts of 06/14/2004

EXHIBIT A